



October 13, 2008

TO: ARL Statistics and Assessment Committee  
Chris Filstrup (Stony Brook, SUNY) 2007-2009  
Brinley Franklin (Connecticut) 2006-2008  
Eileen Hitchingham (Virginia Tech) 2008-2010  
Ernie Ingles (Alberta) 2008-2010  
Ruth Jackson (California, Riverside) 2007-2009  
Judith Nadler (Chicago) 2008-2010  
Randy Olsen (Brigham Young) 2008-2010  
Louis A. Pitschmann (Alabama) 2007-2009  
Bill Potter (Georgia) 2006-2008  
Paul Wiens (Queen's) 2006-2008

FROM:

Colleen Cook, Chair, Texas A&M University  
Martha Kyrillidou, Director of Statistics and Service Quality Programs, ARL

Enclosed are the agenda and supporting documents for the ARL Statistics and Assessment Committee meeting that will take place from 8:00 a.m. to 10:00 a.m. on Wednesday, October 15, 2008, in the Boardroom of the Carlton Ritz Hotel, Arlington, Virginia.

The meeting will focus on reviewing the profile descriptions provided by select libraries and the general program activities, the revisions proposed to the ARL Statistics 2007-08 survey by the Ad Hoc Committee on Best Practices for Counting Serials, and the work of Lars Meyer, ARL Visiting Program Officer for Preservation. The Board Committee on Statistics and Assessment advances the plan adopted in May 2007: <http://www.arl.org/bm~doc/implan.pdf> and plans to request that all member libraries contribute profile descriptions during spring 2009.

The committee chair, Colleen Cook, will provide a briefing at the Business Meeting regarding the ARL Statistics 2007-08 data collection. The committee chair is also reviewing the program grids from all capabilities to ensure that the Statistics and Assessment programmatic activities serve the needs of ARL's strategic directions. Brinley Franklin is serving as an ARL Board member liaison to the Statistics and Assessment Committee.

We look forward to working with you in continuing to build the strong agenda of the Statistics and Assessment Committee in charting future directions that support ARL's strategic directions. We look forward to a productive meeting and your continuing engagement, input, direction and support.

**153rd ARL Membership Meeting  
ARL Statistics and Assessment  
Wednesday, October 15, 2008  
8:00 a.m. – 10:00 a.m.  
Boardroom  
Ritz Carlton  
Arlington, VA**

**AGENDA**

Welcome and introductions

- (a) Approval of Minutes from the 152th ARL Membership Meeting, ARL Statistics and Assessment Committee (5 min)

Attachment a: Minutes from the 152th ARL Membership Meeting, ARL Statistics and Assessment Committee

- (b) Update on the status of current projects (10 min)

Attachment b: Statistics and Measurement Activities Grid, May 2008

- (c) Discussion on strengths of the profile descriptions mailed to committee members. Agree on the purpose and audience of the collected profile descriptions; proposed timeline: call for profile description goes out in January and proposed target date for submission: April 30 (45 min)

- (d) Review the ARL Statistics 2007-08 survey with changes recommended by the Ad Hoc Task Force on Best Practices for Counting Serials (30 min)

Attachment d1 and d2: Revisions of ARL Statistics 2007-07

- (e) ARL Visiting Program Officer (VPO), Lars Meyer (Emory University), will provide background on the briefing session and a preview of the report on member activities regarding preservation. He has been tasked among other things to make recommendations regarding the ARL Preservation Statistics (20 minutes)

Attachment e1: Press release of Lars Meyer VPO assignment

Attachment e2: Powerpoint slides

- (f) Other topics (10 min)

**152nd ARL Membership Meeting  
ARL Statistics and Assessment  
Wednesday, May 21, 2008  
8:00 a.m. – 10:00 a.m.  
Segovia Room  
The Biltmore Hotel  
Coral Gables, FL**

**MINUTES**

*ARL Statistics and Assessment Committee Members*

Chris Filstrup (Stony Brook, SUNY)	2007-2009
Brinley Franklin (Connecticut)	2006-2008
Eileen Hitchingham (Virginia Tech)	2008-2010
Ernie Ingles (Alberta)	2008-2010
Ruth Jackson (California, Riverside)	2007-2009
Judith Nadler (Chicago)	2008-2010
Randy Olsen (Brigham Young)	2008-2010
Louis A. Pitschmann (Alabama)	2007-2009
Bill Potter (Georgia)	2006-2008
Paul Wiens (Queen's)	2006-2008
Colleen Cook, Chair, Texas A&M University, Chair	
Martha Kyrrillidou, Director of Statistics and Service Quality Programs, ARL Staff	

The meeting opened with a welcome to the committee members and introduction. The minutes of the October 2007 meeting were approved.

*Library Investment Index*

The discussion focused on the availability of the new Library Investment Index (previously known as Expenditures-Focused Index). Ernie Ingles observed that this new index does not capture everything. He pointed out that even though we are calculating the Membership Criteria Index, we are not making it available through the public part of the ARL website and made a plea to publish both indices. The committee members discussed the request and concluded that since the membership as a whole has agreed to make only the Library Investment Index publicly available we should continue down this newly charted road.

*Serial Title Count*

There are issues with the size of the collections, for example current serials, that make collection counts harder as digital objects and services are incorporated. The discussion moved into the revised definitions for counting serials. The committee members agreed that there were issues with the subscriptions counts. Even though the new definition of title counts is presenting some challenges, the committee members agreed that this is the

right direction for the future. By reporting serial titles we focus on the content and not the multiplicity of subscriptions.

In the print world multiple print subscriptions related to improved access. In the electronic world access is not linked to the physical manifestation of an artifact so focusing on titles is more meaningful. The number of subscriptions has little relevance in the electronic world.

Colleen Cook posed the question of whether it is important to continue to count serial titles at all or if we should stop counting them. Are serials an important measure for describing a research library? Any institution can purchase and provide access to electronic serials whether they are research libraries or not. The serials content libraries acquire does not seem to differentiate the research and non-research libraries. Does the number of serial titles to which a library provides access distinguish research libraries?

The committee members agreed that serials and other collection measures are important to continue to collect even though they are increasingly difficult to capture with the same sense of reliability and validity that collections could be described in a predominantly print world. Ernie Ingles pointed out that finding the amount of duplication among the electronic serials was very useful. Brinley Franklin agreed with Ernie and pointed out to the ARL Bimonthly Report article that Jim Self wrote on the importance of serials for faculty. Paul Wiens agreed that revealing the duplication rate is useful and as a result focusing on titles is important. Colleen Cook pointed out that we need to work to figure out how to improve the process of counting titles.

As a result of this discussion, an Ad Hoc Group on Best Practices for Counting Serials was formed to examine the process of counting titles in more detail and offer recommendations for improving the process of counting within libraries. Colleen Cook pointed out that there is a multiplicity of systems and processes but if this issue is important we need to work systematically to derive better counts of serials titles. Lou Pitschmann pointed out that this is probably a multi-year process and other committee members agreed that, given a limited set of resources, there is only so much a library can do on this one issue in the course of a single year. There may be doubts whether a new process will work but once staff try and derive a title count, they realize that it is doable.

Two of the reasons that contribute to getting comparable numbers are the difficulties in counting government documents serials and the problems of deduplication serial title counts with law and health science libraries. In some ways it may be easier for institutions that have many STM packages to get title counts compared to institutions that have strong programs and serial collections in the humanities/social sciences. Our current understanding of serials is old-fashioned and with counting 'titles' we attempt to modernize our approach. We do not want to penalize institutions that cancel print in favor of electronic titles. The task force will look more closely into these issues.

*Other Statistics Program Information*

The committee reviewed other upcoming program activity including the library assessment conference in Seattle and the IFLA post conference event in Montreal. In discussing ClimateQUAL, the committee members expressed a desire to learn more about the relationship between ClimateQUAL and LibQUAL and the research that Paul Hanges is undertaking in this area. We will look for future opportunities to invite Paul Hanges to discuss his findings with the Statistics and Assessment Committee.

### *Institutional Profiles*

Regarding the institutional profiles, many committee members who did not submit one indicated an interest in doing so by fall. Colleen Cook in her role as chair of the ARL Statistics and Assessment Committee extended an invitation to submit profiles to the chairs of the Steering Committees and the ARL Membership Committee as well as to key directors involved in preservation issues (Smithsonian, Ohio State). The committee discussed the strengths and weaknesses of the descriptions provided. Members liked quoting specific facts (for example, U. of Connecticut mentioned their LibQUAL\_(R) score), and the aspirations of the institutions (Texas A&M is aspiring to be in the top 10 public institutions in the US). Other descriptions stayed away from articulating specific quantitative goals (Brigham Young). From this initial exercise, it is clear that the initial directive to limit these descriptions to two pages is not feasible. A four to five page profile seems to be the desirable target. The committee's goal is to take the current descriptions as examples other institutions can refer to when they are asked to provide institutional profile descriptions. Issues to consider at the committee's October meeting are clear articulation of the goals: (a) qualitative narrative/text descriptions (avoid visuals and marketing) and (b) analysis of the corpus of these descriptions with an eye to identifying potential new quantitative data elements, especially in the area of services and collaborative relations. The committee members discussed questions:

What was the hardest thing to do?

Does the profile really describe your institution?

Do we need a template?

When do we ask members to provide them?

And how often do we ask members to review their descriptions?

The committee members agreed that we need to continue the discussion and continue refining in an iterative way these narrative descriptions. Colleen Cook sees a book/document available to the membership as a whole – if variables emerge from that which are representative of the community as a whole, we will take those and develop the three-factor index that is emerging from the current data. The end product of this process, however, is the collection of these profile descriptions. Identifying new variables is a by-product.

Committee members discussed the most impressive paragraph from each description (e.g., the staffing paragraphs at the Case Western Reserve description). Ruth Jackson indicated that diversity issues should also surface in these descriptions. Bill Potter proposed that some directors may attempt to rank the profiles (best, moderate, needs

improvement). Some members felt that this exercise was similar to the kind of exercises institutions do when going through accreditation. Committee members suggested encouraging members to provide their LibQUAL+® ratings in the profiles. They also recommended that a few more profiles be collected while giving the opportunity for revision to those who have already submitted them. Lou Pitschmann indicated that profiles should reflect to some extent the topics ARL is promoting: hidden collections, repurposing space, web-based services, collaborations with faculty and other libraries. We should take a look at parallel ARL work that takes place with the Strategic Direction Steering Committees and include explicitly in the categories solicited: descriptions of new initiatives, support for graduate education, support for learning and instruction, and diversity. The profile discussion will continue in October.

The committee meeting was adjourned.

## ARL Statistics and Assessment Review of 2008 Activities, Projects, and Priorities as of October 2008

Areas of Activity	<b>UNDERWAY AND PLANNED</b>	<i>Summary of Accomplishments Since Jan 2008</i>
<p>1. Statistics and Assessment Committee</p>	<ul style="list-style-type: none"> <li>• Statistics and Assessment follow up activities to the ARL Board/Task Force recommendations including calculation of alternative quantitative indices and moving forward with qualitative profile work.</li> <li>• Pilot testing of qualitative data collection for describing research library contributions and development of new data elements for services, collections and collaborative relations.</li> <li>• Multiple requests for profile like information appeared on the arl-directors list leading us to explore the deployment of social networking tools, in particular the near-time enterprise platform, for creating virtual spaces for sharing of information and working collaboratively on projects like the 'profile' creation. Collaborative space for ARL Statistics and Assessment Committee established: statscommittee.arl.org</li> <li>• Celebrating 100 years of ARL Statistics. Considering a special focus at the January 2009 survey coordinators meeting.</li> <li>• Slight revision of ARL Statistics 2007-08. Webcast planned for training purposes.</li> <li>• Sustain communication with liaisons to external organizations such as ALA, NISO, CARL, ABDU, SCONUL, and LIBER.</li> </ul>	<ul style="list-style-type: none"> <li>• Collected data on serials using the new definitions and issued preliminary tables to engage libraries in a thorough review process of the submitted data.</li> <li>• Investments (or Expenditures Focused) Index was published in the Chronicle of Higher Education. A paper on the importance of the Investment Index (or Expenditures Focused Index) was presented at the Library Assessment Conference in Seattle.</li> <li>• The ARL Membership Criteria Index was made available only through the members' only part of the ARL website.</li> <li>• Meeting of Survey Coordinators and SPEC Liaisons was held on June 27, 2008. Discussion focused on the reporting of serials with the new definition (deduped titles with primacy to the electronic format).</li> <li>• An Ad Hoc Task Force on Best Practices for Counting Serials was formed and met on June 27 and August 28 to help libraries develop best practices in this area. Issues like law/health science libraries, aggregators' databases and government document serials are some areas where changes/clarifications are provided.</li> <li>• Ten libraries contributed profile descriptions that are being reviewed at the October meeting.</li> </ul>
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <p><i>Accomplishments since May 2008 ARL Board Meeting noted in purple.</i></p> </div>		

**Statistics and Assessment • Review of 2008 Activities, Projects, and Priorities as of October 2008**

<i>Areas of Activity</i>	<b>UNDERWAY AND PLANNED</b>	<i>Summary of Accomplishments Since Jan 2008</i>
<p>1. Statistics and Assessment Committee, continued.</p>	<ul style="list-style-type: none"> <li>A call for all ARL libraries to submit profile descriptions will be announced by the end of the year with an April 30 target date for submission.</li> </ul>	<ul style="list-style-type: none"> <li>Explored the benchmarking possibilities of institutional statistics like ARL Statistics and SCONUL Statistics at a meeting with SCONUL and ARL contacts on July 24.</li> <li>Participating in the NCES Academic Libraries Advisory Committee and offered advice on revisions for the Academic Libraries Survey.</li> <li>Participating in the NISO Library Statistics Committee engaged in maintenance of the existing standard and exploration of the desirability of a standard on performance measurement.</li> </ul>
<p>2. StatsQUAL™ A gateway to library assessment tools</p>	<ul style="list-style-type: none"> <li>Engaging in redesigning the StatsQUAL® gateway with a new web design to accommodate all available assessment services and tools. Migration of LibQUAL+® in the new environment by January 2009, enhancing ARL Statistics interface by August 2008, implementing a subscription model for LibQUAL+® Analytics in 2009/2010.</li> <li>Conduct a LibQUAL+® beta in Fall 2008 across all languages; implement a data warehousing solution for collected data in 2009/2010.</li> <li>The most frequent requests for improvement have been to shorten the LibQUAL+® protocol. In response, developed the LibQUAL Lite customization feature. For a percentage of all surveys presented to users, LibQUAL Lite selects eight out of 22 questions. The percent is defined by the library in the customization interface.</li> </ul>	<ul style="list-style-type: none"> <li>LibQUAL+® data collected from more than 108,262 library users across 153 institutions from January to May 2008; a total of 214 institutions registered for 2008; awarded four in-kind grants for 2008: Arkansas State University Mountain Home, Norma Wood Library; The College of the Bahamas Library System; Meharry Medical College Information Center Library; University of Dallas, William A. Blakley Library. Issued call for grant applications for 2009.</li> <li>Implemented new languages in LibQUAL+® for 2008: Japanese and Spanish; implemented new types in LibQUAL+® for 2008: European Parliament, French and Swiss academic libraries; Did not implement new set of demographics for the European Business Librarians Group as translations were not received for all the languages involved in the EBSLG group.</li> </ul>

**Statistics and Assessment • Review of 2008 Activities, Projects, and Priorities as of October 2008**

Areas of Activity	UNDERWAY AND PLANNED	Summary of Accomplishments Since Jan 2008
<p>2. StatsQUAL™ A gateway to library assessment tools, continued.</p>	<ul style="list-style-type: none"> <li>• New groups for 2009: academic libraries in Belgium and Norway.</li> <li>• Develop demographics for user group categories that are consistent across European academic libraries in France, Belgium, Norway, Denmark, Switzerland, UK, etc., based on the Bologna agreement framework.</li> <li>• New potential languages for 2009 and beyond: Polish and Hebrew.</li> <li>• Wuhan U in China and Nanyang Technological U in Singapore are the first ones to launch LibQUAL+® in their respective countries in Session II 2008.</li> <li>• Service Quality Evaluation Academy to be held in New Orleans in March 16-20, 2009 co-sponsored with CARL.</li> <li>• Workshop “Using LibQUAL+® Effectively” to take place in Washington DC, October 27.</li> </ul>	<ul style="list-style-type: none"> <li>• LibQUAL+® / ARL signed a Memorandum of understanding with Maruzen in Japan for reaching a larger group of Japanese libraries.</li> <li>• Organized LibQUAL+® international events in the UK, Israel and Japan. LibQUAL+® Canada participated as the single largest national consortium coordinated by Sam Kalb (Queen’s) and results will be presented at the Library Assessment Conference in Seattle.</li> <li>• Developed and launched LibQUAL+® Lite from April to June 2008 with Alberta, Arizona State, University of Houston, University of North Texas, Texas A&amp;M, University of Texas.</li> <li>• LibQUAL+® Results meeting in January in Philadelphia featured presentations by marketing services students at West Virginia University analyzing and providing recommendations based on the library’s LibQUAL+® data. Keen interest in the analysis of qualitative data emerged in the libqual-l listserv; a segment of the Library Assessment Conference in Seattle will focus on this area.</li> <li>• Published LibQUAL+® paper: Library Users’ Service Desires: A LibQUAL+® Study (Library Quarterly, January 2008).</li> <li>• The LibQUAL+® team was available for individual consultations at the LibQUAL Booth #549 at ALA in June 2008.</li> </ul>

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<i>Areas of Activity</i>	<b>UNDERWAY AND PLANNED</b>	<i>Summary of Accomplishments Since Jan 2008</i>
<p>2. StatsQUAL™ A gateway to library assessment tools, continued.</p>		<ul style="list-style-type: none"> <li>• Participated in keynote panel at the 17<sup>th</sup> Annual Academic Libraries Conference in Greece.</li> <li>• ARL Statistics was implemented in the new database platform. Revised ARL Statistics data entry interface to allow comparison of changes from year to year and more control over the final data submission by the local ARL institutions. Enhancement in 2007-08 interface implemented to allow access to all the data as they are entered in the system by ARL libraries.</li> <li>• Initiated a self-login process with automatic generation of the 'password-forgot' function and working on automating the production of the print publications.</li> </ul>
<p>3. Effective, Sustainable and Practical Assessment</p>	<ul style="list-style-type: none"> <li>• Call for participating in Effective, Sustainable and Practical Assessment will be issued in December 2009.</li> <li>• Planning is underway for a Library Assessment Conference in the DC area in Oct./Nov. 2010. Explore engagement from international institutional associations: Research Libraries UK, SCONUL, CARL, CAUL.</li> </ul>	<ul style="list-style-type: none"> <li>• Visiting Program Officers Jim Self (Virginia) and Steve Hiller (Washington) are working with the following libraries in 2008: <ul style="list-style-type: none"> <li>- U. of Haifa</li> <li>- Carleton University</li> <li>- U. of British Columbia</li> <li>- U. of York (UK)</li> <li>- Boise State, Idaho</li> <li>- Boston University</li> <li>- U. of Richmond</li> <li>- U. of Tennessee</li> </ul> </li> <li>• Library Assessment Forum (January 2008) in Philadelphia hosted by Carton Rogers at the Pennsylvania, featured presentations by Xin Li (Cornell) and Joe Zucca (Pennsylvania).</li> </ul>

**Statistics and Assessment • Review of 2008 Activities, Projects, and Priorities as of October 2008**

<i>Areas of Activity</i>	<b>UNDERWAY AND PLANNED</b>	<i>Summary of Accomplishments Since Jan 2008</i>
<p>3. Effective, Sustainable and Practical Assessment, continued.</p>	<ul style="list-style-type: none"> <li>Offered two events in library assessment in collaboration with OCLC Eastern.</li> <li>Operating libraryassessment.info blog.</li> <li>Hosting Library Assessment Forum meetings in conjunction with ALA. Meeting planned on Jan 23.</li> <li>Explore a pilot activity with the Balanced Scorecard</li> </ul>	<ul style="list-style-type: none"> <li>First ever meeting for ARL Library Assessment Professionals in conjunction with ALA midwinter in Philadelphia on January 11, 2008. See libraryassessment.info blog for details.</li> <li>Library Assessment Conference took place at the University of Washington August 4 – 7, 2008 attended by 375 people. The program offered more than 60 presentations in nine parallel sessions. Power point presentations and poster sessions are available through <a href="http://www.libraryassessment.org">www.libraryassessment.org</a></li> </ul>
<p>4. Human Resources</p>	<ul style="list-style-type: none"> <li>Transfer of ClimateQUAL-OCDA protocol from the U. of Maryland Libraries team to ARL. Sustainability framework beyond the implementation of the survey once every four years needs to be developed.</li> <li>ARL is assuming responsibility for ClimateQUAL-OCDA 2009 with additional interested libraries.</li> <li>Exploring the establishment of an organizational climate improvement service (OCIS) consultation to develop transformative actions in participating libraries.</li> <li>Explore the interactive publication of the normative data from ClimateQUAL-OCDA using NESSTAR.</li> </ul>	<ul style="list-style-type: none"> <li>ARL Annual Salary Survey 2007-2008 available at: <a href="http://www.arl.org/bm~doc/tables2007-08.xls">http://www.arl.org/bm~doc/tables2007-08.xls</a>. Currently collecting data for ARL Annual Salary Survey 2008-09.</li> <li>ClimateQUAL-OCDA (Organizational Climate and Diversity Assessment) Phase II took place across ten libraries, Spring 2008. Seven libraries are on board for Spring 2009.</li> <li>Reports summarizing the Climate QUAL-OCDA survey data and the qualitative comments were provided to the participants and feedback is being solicited regarding consulting services and a sustainable business operational platform. An in person meeting of ClimateQUAL-OCDA participants took place on June 27. A poster session was presented at the Library Assessment Conference in Seattle. Another meeting is planned on January 23, 2009.</li> </ul>

### Statistics and Assessment • Review of 2008 Activities, Projects, and Priorities as of October 2008

Areas of Activity	UNDERWAY AND PLANNED	Summary of Accomplishments Since Jan 2008
4. Human Resources, continued.		<ul style="list-style-type: none"> <li>• Branded OCDA as ClimateQUAL and pursuing trademark to add this tool in the StatsQUAL set of tools ARL is offering.</li> </ul>
5. E-Metrics	<ul style="list-style-type: none"> <li>• Analyze DigiQUAL™ data to determine how they can be used by UTOPIA and other digital libraries within the NSDL context.</li> <li>• Monitor developments within NISO regarding the development of SUSHI.</li> <li>• Monitor developments with Project COUNTER, the ScholarlyStats project, and other external efforts aiming at the development of decision support systems for libraries.</li> <li>• Analyze ARL Supplementary Statistics over the last couple of years with an emphasis on the networked electronic services usage data (searches, downloads and sessions)</li> <li>• XML workshop in North Carolina, January 2009.</li> </ul>	<ul style="list-style-type: none"> <li>• Conducting the second of a three-year implementation of MINES for Libraries™ at the U. of Iowa (2007-2010). Completed the protocol at the U. of Macedonia, Thessaloniki, Greece (2007-2008) and presented at the Greek Academic Libraries Conference at the U. of Ioannina 9/08. Engaged additional libraries including Rutgers and other libraries in exploring a global solution to EZproxy for MINES for Libraries™.</li> <li>• Communicated with OCLC the need to work on building functionality in EZproxy and contacted consultant, Chris Zagar, to determine next steps in defining the MINES protocol within EZproxy. A panel on the implications of expanding MINES for Libraries was presented at the Library Assessment Conference.</li> <li>• Explore external funding opportunities and partnerships for R&amp;D on the MINES for Libraries.</li> <li>• Joe Zucca's presentation at the Library Assessment Forum in January provided insights on the challenges and future directions of e-metrics related data collection. Xin Li also presented on the development of assessment services at Cornell. ARL Library Assessment Coordinators provided suggestions of enhancing ARL's assessment services and posted them on the library assessment blog: <a href="http://libraryassessment.info/?p=107">http://libraryassessment.info/?p=107</a></li> </ul>

**Statistics and Assessment • Review of 2008 Activities, Projects, and Priorities as of October 2008**

<i>Areas of Activity</i>	<b>UNDERWAY AND PLANNED</b>	<i>Summary of Accomplishments Since Jan 2008</i>
<p>4. SPEC Survey Program</p>	<ul style="list-style-type: none"> <li>• The SPEC survey program gathers information on current research library operating practices and policies and publishes the SPEC Kit series as guides for libraries as they face ever-changing management issues. Six SPEC Kits are planned for 2009:               <ul style="list-style-type: none"> <li>- processing manuscript collections</li> <li>- public engagement activities</li> <li>- e-Books</li> <li>- author addenda</li> <li>- public access mandates</li> <li>- benefits</li> </ul> </li> <li>• Implement collaboratively Library Assessment SPEC Kit survey in UK libraries via SCOUNL and explore collaboration with CAUL.</li> </ul>	<ul style="list-style-type: none"> <li>• 2008 SPEC surveys:               <ul style="list-style-type: none"> <li>- Promoting the Library</li> <li>- Records Management</li> <li>- Social Software in Libraries</li> <li>- Manuscript Collection Web Sites</li> <li>- Graduate and Faculty Services and Spaces</li> <li>- Library Support for Study Abroad</li> </ul> </li> </ul> <p><a href="http://www.arl.org/resources/pubs/spec/complete.shtml">http://www.arl.org/resources/pubs/spec/complete.shtml</a>.</p>

**SERIALS**

**4. Total number of serial titles currently received, including periodicals (4.a + 4.b) (4) \_\_\_\_\_**

4a. Number of serial titles currently purchased (4a) \_\_\_\_\_

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4a.i Electronic (4a.i) \_\_\_\_\_

4a.ii Print (and other format) \_\_\_\_\_ (4a.ii) \_\_\_\_\_

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4b. Number of serial titles currently received but not purchased (4b) \_\_\_\_\_

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4b.i Consortial (4b.i) \_\_\_\_\_

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4b.ii Freely accessible (4b.ii) \_\_\_\_\_

4b.iii Print (and other format) \_\_\_\_\_ (4b.iii) \_\_\_\_\_

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~~(Exchanges, gifts, etc.)~~

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~~(Exchanges, gifts, etc.)~~

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4b.iv. Government documents (4b.iv) \_\_\_\_\_

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\_\_\_\_ No

**Questions 4-5. Serials.** Use the following definition adapted from AACR2 for a serial:

A bibliographic resource issued in a succession of discrete parts, usually bearing numbering, that has no predetermined conclusion. Examples of serials include journals, magazines, electronic journals, continuing directories, annual reports, newspapers, and monographic series.

Report the total number of **unique** serial titles, NOT SUBSCRIPTIONS, that you currently acquire. Do not include duplicate counts of serial titles. Exclude unnumbered monographic and publishers' series. Electronic serials acquired as part of a bundle or an aggregated package should be counted by title, even if they are not cataloged, as long as the title is made accessible directly by the library. If access is provided only through the overall platform or aggregator, do not report the individual titles.

**Question 4a. Serial titles currently purchased.** In the case of consortial agreements, count under 'serial titles currently purchased' those titles for which the library pays any amount from its budgeted expenditures. Include all titles that are part of bundles or aggregated packages, even if your library makes a partial payment for access to those titles. If a purchased title includes electronic access to the title, count that title ONLY ONCE (DEDUPED) as electronic only. If a database includes full-text and abstracted titles, the number of full-text titles can be counted.

**Question 4b. Serial titles: Not Purchased.** Report other titles that your library receives and does not pay for directly under 'serial titles received but not purchased. Report other titles that your library receives and does not pay for directly under 'serial titles received but not purchased.

Freely accessible titles are those your library provides direct access to via cataloging records or online serial lists.

If serial titles have been purchased through a consortium whose budget is centrally funded and independent from the library's budget, these serials should be reported under 'serial titles currently received but not purchased.'

If within a purchased or aggregated package it cannot be determined that some titles are not purchased, report all titles as purchased.

Record those serial titles received without purchase for whatever reason (exchanges, gifts, etc.).

To the extent possible, report all government document serials separately in 4b.iv.

If separate counts of nonpurchased and purchased serial titles are not available, report only the total number of serial titles currently purchased and received on line 4, and report U/A for lines 4a and 4b.

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**Deleted:** *publication in any medium issued in successive parts bearing numerical or chronological designations and intended to be continued indefinitely. This definition includes periodicals, newspapers, and annuals (reports, yearbooks, etc.); the journals, memoirs, proceedings, transactions, etc. of societies; and numbered monographic series.*

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**Deleted:** If within a purchased or aggregated package it cannot be determined that some titles are ... 2]

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If serial titles have been purchased through a consortium whose budget is centrally funded and independent from the library's budget, these serials should be reported under 'serial titles currently received but not purchased.'

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If within a purchased or aggregated package it cannot be determined that some titles are not purchased, report all titles as purchased.

**For immediate release**

September 11, 2007

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## **Emory's Lars Meyer Named ARL Visiting Program Officer**

Washington, DC—Lars Meyer, Preservation Officer, Emory University Libraries, has been appointed Visiting Program Officer by the Association of Research Libraries (ARL) to assess the state of preservation programs in ARL libraries. ARL recruited Meyer to conduct the investigation in response to one of the recommendations from the Future of Preservation in ARL Libraries Workshop Planning Task Force. Over the next several months, he will be gathering quantitative and qualitative information on preservation activities in ARL libraries, working with ARL's Office of Scholarly Communication and the Statistics and Measurement program. His time on the project has been underwritten by Emory University Libraries.

The ARL Board of Directors recently issued a statement affirming the importance of preservation for research libraries and recognizes that research libraries have a responsibility to invest in strong local and cooperative preservation programs. Duane Webster, ARL Executive Director, stated, "We are delighted to have Lars work with us to help gather evidence providing a more comprehensive picture of current programmatic preservation activities in ARL libraries."

While at Emory, Meyer has established a preservation program for sound recordings and moving images, participated in digital preservation efforts, and is currently developing a new program, the Digital Curation Center. For six years he was at Columbia University as Assistant Director for Preservation Reformatting and he has also worked as an archaeologist, GIS technician, and archives assistant. Meyer has been at Emory since 2002 and, in addition to his preservation duties, he serves as German Studies Librarian and Storage Library Manager.

Meyer has chaired numerous committees and discussion groups in the Preservation and Reformatting Section (PARS) of the American Library Association. From 2000 to 2003 he served as member-at-large on the PARS Executive Committee. Also from 2000 to 2003, he was co-editor of *Microform & Imaging Review*. In 2003 he co-authored the *RLG Guidelines to Support Microfilming for Digitization* (Mountain View, CA: Research Libraries Group), and more recently, from 2004 to 2006, served on the working group that finalized *ANSI/NISO Z39.87—2006 Data Dictionary—Technical Metadata for*

*Digital Still Images.* Currently, he is active in partnering with cultural institutions in metro Atlanta to establish a cooperative for disaster preparedness and response.

Meyer earned an MLIS at the University of Texas at Austin (1995) and a BA in anthropology and German from the University of California, Davis (1990).

Meyer may be contacted at Emory University at [lmeyer2@emory.edu](mailto:lmeyer2@emory.edu).

The Association of Research Libraries (ARL) is a nonprofit organization of 123 research libraries in North America. Its mission is to influence the changing environment of scholarly communication and the public policies that affect research libraries and the diverse communities they serve. ARL pursues this mission by advancing the goals of its member research libraries, providing leadership in public and information policy to the scholarly and higher education communities, fostering the exchange of ideas and expertise, and shaping a future environment that leverages its interests with those of allied organizations. ARL is located on the Web at <http://www.arl.org/>.

**Describing & Measuring  
Contemporary Preservation  
Activities in ARL Libraries**

Lars Meyer  
Emory University Libraries  
15 October 2008  
ARL Membership Meeting 2008

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**Project Overview**

- **Assignment**
  - 2006 Task Force Report
  - Scope
    - Document extant and emerging trends and strategies
    - Identify preservation community concerns
    - Provide observations, recommendations, and questions
- **Methodology**
  - Qualitative interviews
  - Experts meeting
  - Literature review
  - Review of preservation statistics

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**A Preservation Definition**

Preservation focuses on maintaining...

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### Preservation Categories

- Core preservation work
- Emerging preservation initiatives, with emphasis on digital curation
- Preservation Community Challenges

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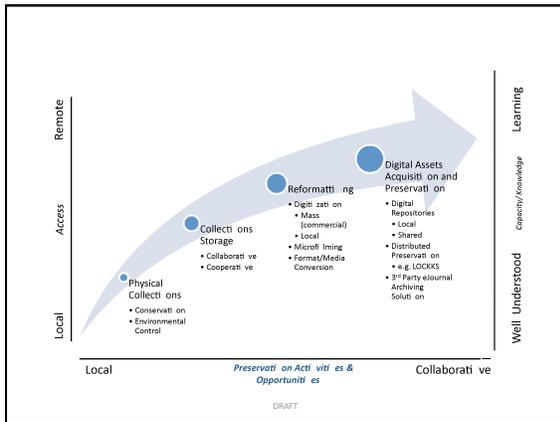
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### Core preservation work

Libraries typically pursue some or all of the activities in this category.

*I'll highlight a few programs that are doing something new or exceptional. Look at some key trends (use graphs or diagrams). And share some general observations and recommendations*

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### Emerging preservation initiatives, with emphasis on digital curation

Some libraries are pursuing activities in this category.

- mass digitization
- third party archiving solutions
- Creating digital surrogates of print and a/v materials
- Partnering with IT units on institutional repository development
- Acquiring and preserving digital content, including web archiving

*I'll highlight a few programs that are doing something new or exceptional and share general observations and recommendations*

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### Preservation Community Challenges

Libraries have identified activities in this area as important, but also acknowledge that more direction or guidance from the library community is needed.

- Characterizing an institutional role for preservation in light of digital library developments, particularly in relationship to collection development.
  - *Discuss how has the work of preservation changed, broadened, become decentralized, intertwined with acquisition and description*
- Identifying priorities and resource strategies
  - *At what point should a given library be concerned with initiating a preservation action? In an environment of competing resources, what are the criteria?*
- Establishing national agendas for deacidification, web archiving, digitization, collaborative or cooperative storage.
  - *I'll share some ideas about these particular areas of concern*

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### Preservation Community Challenges

- Multiple stakeholders
  - CLIR
  - LC
  - ARL
  - OCLC/RLG
  - Libraries
  - ...

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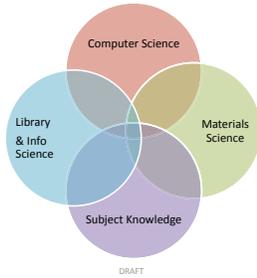
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### Preservation Community Challenges

- Domain expertise needed for successful preservation action



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### Conclusion

- Next Steps
  - Report
  - Institutional Self Assessment
  - Preservation Statistics

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